

THE GRAIN OF TRUTH PROBLEM

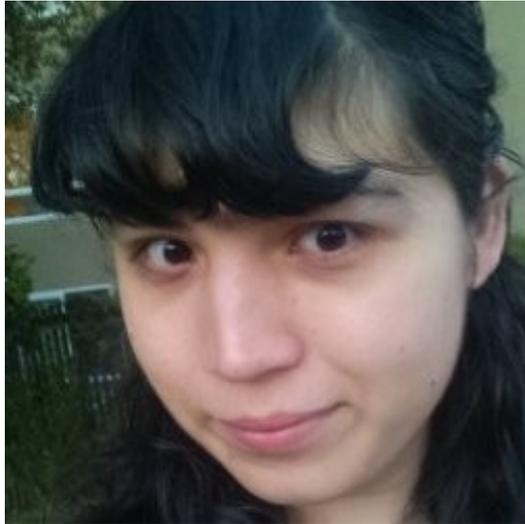
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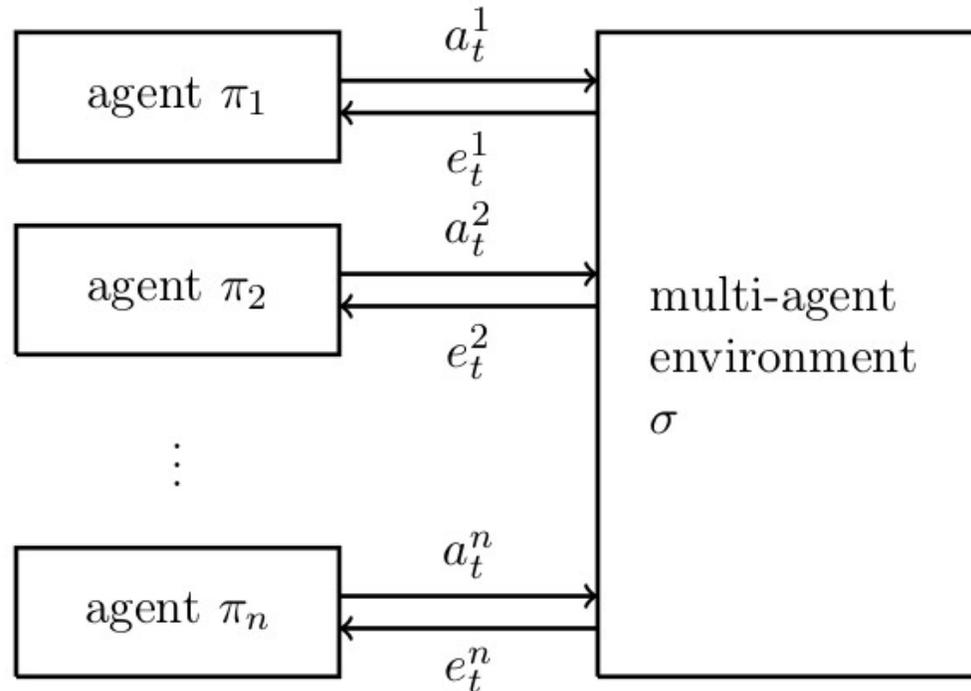
Work joint with Marcus Hutter



Relying on ideas from many others...



Multi-player Games



$$h_{1:t} = a_1 e_1 a_2 e_2 \dots a_t e_t$$
$$h \sim \sigma^\pi$$
$$h_{1:t}^i := a_1^i e_1^i a_2^i e_2^i \dots a_t^i e_t^i$$
$$h^i \sim \sigma_i^{\pi_i}$$

Figure 7.2: Agents π_1, \dots, π_n interacting in a multi-agent environment.

Multi-player Games

$$\sigma^\pi(\epsilon) = 1$$

$$\sigma^\pi(h_{<t}a_t) = \sigma^\pi(h_{<t}) \prod_{i=1}^n \pi_i(a_t^i | h_{<t}^i)$$

$$\sigma^\pi(h_{<t}a_te_t) = \sigma^\pi(h_{<t}a_t) \sigma(e_t | h_{<t}a_t)$$

$$\sigma_i^\pi(h_{<t}^i) = \sum_{h_{<t}^j, j \neq i} \sigma^\pi(h_{<t})$$

The Grain of Truth Problem

In a multi-player game, we would like all players to have a prior over the strategies they will face, and not be “infinitely surprised” by what actually happens.

We want to find

- a class of games \mathcal{G}
- a class of strategies \mathcal{P}
- priors ξ_i for each player i

So that each player's optimal strategy $\pi_{\xi_i}^* \in \mathcal{P}$,
and $\xi_i \gg \sigma_i^\pi (\forall \sigma \in \mathcal{G}, \forall \pi \in \mathcal{P})$

Because we read “An Introduction to Universal Artificial Intelligence” [1] we want \mathcal{G}, \mathcal{P} to at least include all computable games/strategies.

The Grain of Truth Problem

Note that in particular $\xi_i \gg \sigma_i^{\pi^*}$ where $\pi^* := (\pi_{\xi_1}^*, \pi_{\xi_2}^*, \dots, \pi_{\xi_n}^*)$

Learning occurs when \mathcal{G}, \mathcal{P} are not singleton sets

A player's prior distribution ξ_i is over his subjective history, so that he is uncertain of his subjective environment; if the game is known, uncertainty is over the other players' actions/strategies instead

Simple (Non)Examples

- Any Nash equilibrium
- Prisoner's dilemma with generalized grim trigger strategies
- NOT the sets of computable (l.s.c., estimable) games and strategies
 - Diagonalization arguments

The Problem of Mutual Recursion

Imagine that we are about to play a simple game:

- I will secretly choose one of two cups to poison
- You will choose which cup to drink from

What you should do depends on which cup you think that I think that you think ... that I poisoned.

But obviously playing the Nash equilibrium is reasonable



The Problem of Mutual Recursion

Reflective oracles solve this problem by “letting the recursion terminate in a fixed point.” Let $\lambda_T^O(\alpha|x) := P[T^O(x) = \alpha]$

Definition 7 (reflective oracle) An oracle $O : \mathcal{T} \times \mathcal{A}^* \times (\mathbb{Q} \cap [0, 1]) \times \mathcal{A} \rightarrow [0, 1]$ is called reflective iff for each pTM T and string $x \in \Sigma^*$, $\exists \{q_\alpha\}_{\alpha \in \mathcal{A}}$ satisfying the following properties:

$$\sum_{\alpha \in \mathcal{A}} q_\alpha = 1 \tag{2}$$

And for all $\alpha \in \mathcal{A}$ and $p \in \mathbb{Q}$,

$$\lambda_T^O(\alpha|x) \leq q_\alpha \leq 1 - \sum_{\beta \neq \alpha} \lambda_T^O(\beta|x)$$

$$O_\alpha(T, x, p) = 1 \quad \text{for } p < q_\alpha$$

$$O_\alpha(T, x, p) = 0 \quad \text{for } p > q_\alpha$$

The Problem of Mutual Recursion

Why care about reflective oracles?

- Not only do reflective oracles exist, there are limit computable examples
- This means that all strategies we will discuss have “anytime algorithms”
- We extend existence and computability results to nonbinary and typed oracles

Convergence to Nash for Bayesian Players

Assuming σ is an infinitely repeated stage game, an old result of Kalai and Lehrer [4] shows that optimal players with priors satisfying the grain of truth property converge to a ε -Nash equilibrium:

THEOREM 2: Let f and f^1, f^2, \dots, f^n be strategy vectors representing respectively the one actually played and the beliefs of the players. Suppose that for every player i :

- (i) f_i is a best response to f_{-i}^i ; and*
- (ii) f is absolutely continuous with respect to f^i .*

Then for every $\varepsilon > 0$ and for almost all (with respect to μ_f) play paths z there is a time $T = T(z, \varepsilon)$ such that for every $t \geq T$ there exists an ε -equilibrium \bar{f} of the repeated game satisfying $f_{z(t)}$ plays ε -like \bar{f} .

Convergence to Nash for Bayesian Players

In our notation:

- The known game σ must be an infinitely repeated stage game
- The players must have independent priors over each opponent's strategy $\pi^i = (\pi_1^i, \dots, \pi_i^i, \dots, \pi_n^i)$
- The subjective environment prior is $\xi_i = \sigma_i^{\pi^i}$
- Then the grain of truth condition is sufficient for convergence to ε -Nash equilibrium

Convergence to Nash for Bayesian Players

Let $\lambda_T^O(\alpha|x)$ be the probability that probabilistic Turing machine T with access to O returns symbol α on input x .

We can “complete” λ_T^O to a measure $\pi_T := \bar{\lambda}_T^O$ by performing a binary search with O .

This constructs a computably enumerable policy class:

$$\mathcal{P}_{\text{refl}}^O := \{\pi_T\}_{T \in \mathcal{T}}$$

For now we will assume a known and infinitely repeated stage game, so that

$$\mathcal{G} := \{\sigma\}$$

Convergence to Nash for Bayesian Players

We construct a prior over opponent strategies with a recursive trick (become the prior you have been waiting for):

Algorithm 1 pTM Q

Input: History $\mathfrak{x}_{<t}$

Require: Random sequence ω

Output: $a_t \sim \lambda_Q^O(a_t | \mathfrak{x}_{<t})$

1: Obtain $\langle Q \rangle$

2: Let $\phi_\alpha(\mathfrak{x}_{<t}, \cdot)$ approximate $\sum_{\pi \in \mathcal{P}_{\text{refl}}^O} w_\pi \frac{\pi(a_{<t} | e_{<t})}{\pi_Q(a_{<t} | e_{<t})} \pi(\alpha | \mathfrak{x}_{<t})$ from below, where $\pi_Q \equiv \bar{\lambda}_Q^O$

3: Run $\text{sample}(\phi_\alpha, \mathfrak{x}_{<t})$ with access to ω (Algorithm [7](#)).

This is a “mixed strategy” in the sense of Kuhn’s theorem

In a known game, this immediately yields

$$\xi_i := \sigma_i^{\pi^i} \text{ where } \pi^i := (\pi_Q, \dots, \pi_{\xi_i}^*, \dots, \pi_Q) \text{ so } \xi_i \gg \sigma_i^{\pi^*}$$

Convergence to Nash for Bayesian Players

A reflective-oracle computable prior gives a reflective-oracle computable optimal value function:

$$V_{\nu}^*(h_{<t}a_t) = \frac{1}{\Gamma_t} \lim_{T \rightarrow \infty} \sum_{e_t} \max_{a_{t+1}} \sum_{e_{t+1}} \dots \max_{a_T} \sum_{e_T} \sum_{i=t}^T \gamma_i r_i \prod_{j=t}^T \nu(e_j | h_{<t} a_{<j})$$

Convergence to Nash for Bayesian Players

Further cleverness to break ties gives a reflective-oracle computable optimal strategy:

$$\lambda_{T_{\alpha\beta}}^O(\alpha|h_{<t}) = \frac{1}{2}[V_\nu^*(h_{<t}\alpha) - V_\nu^*(h_{<t}\beta) + 1] \in [0, 1]$$

$$\lambda_{T_{\alpha\beta}}^O(\beta|h_{<t}) = 1 - \lambda_{T_{\alpha\beta}}^O(\alpha|h_{<t}) = \frac{1}{2}[V_\nu^*(h_{<t}\beta) - V_\nu^*(h_{<t}\alpha) + 1] \in [0, 1]$$

$$\pi(a|h_{<t}) = \begin{cases} 1 & \text{if } a = \alpha \text{ and } O(T_{\alpha\beta}, h_{<t}, 1/2) \rightarrow 1, \\ 1 & \text{if } a = \beta \text{ and } O(T_{\alpha\beta}, h_{<t}, 1/2) \rightarrow 0, \\ 0 & \text{otherwise.} \end{cases}$$

Convergence to Nash for Bayesian Players

The result of Kalai and Lehrer shows that optimal play with these priors converges to a ε -Nash equilibrium

THEOREM 2: Let f and f^1, f^2, \dots, f^n be strategy vectors representing respectively the one actually played and the beliefs of the players. Suppose that for every player i :

(i) f_i is a best response to f_{-i} ; and

(ii) f is absolutely continuous with respect to f^i .

Then for every $\varepsilon > 0$ and for almost all (with respect to μ_f) play paths z there is a time $T = T(z, \varepsilon)$ such that for every $t \geq T$ there exists an ε -equilibrium \bar{f} of the repeated game satisfying $f_{z(t)}$ plays ε -like \bar{f} .

This means that after being poisoned enough times, Bayesians will eventually choose a (uniformly) random cup!

(Assuming the discount factor is low enough)

UNFORTUNATELY...

“Nobody cares about infinitely repeated stage games.”

MARCUS HUTTER



Unknown and General Games

Simple recipe:

- Introduce a “type system” to the reflective oracle (a distinct probability simplex for each players’ action space and for the percept space)
- Construct an explicit environment mixture (analogous to π_Q)
- Apply Thompson sampling instead of the optimal strategy

Algorithm 2 Thompson sampling strategy π_{TS}

Input: Percept stream $e_{1:\infty}$

Output: $a_{1:\infty} \sim \pi_{TS}(\cdot || e_{1:\infty})$

1: **while true do**

2: sample $\rho \sim w(\cdot | \mathfrak{a}_{<t})$

3: follow π_ρ^* for $H_t(\varepsilon_t)$ steps

An Application to Self-Predictive A.G.I.

Self-Predictive Universal AI

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Abstract

Reinforcement Learning (RL) algorithms typically utilize learning and/or planning techniques to derive effective policies. Integrating both approaches has proven to be highly successful in addressing complex sequential decision-making challenges, as evidenced by algorithms such as AlphaZero and MuZero, which consolidate the planning process into a parametric search-policy. AIXI, the universal Bayes-optimal agent, leverages planning through comprehensive search as its primary means to find an optimal policy. Here we define an alternative universal Bayesian agent, which we call Self-AIXI, that on the contrary to AIXI, maximally exploits learning to obtain good policies. It does so by *self-predicting* its own stream of action data, which is generated, similarly to other TD(0) agents, by taking an action maximization step over the current on-policy (universal mixture-policy) Q-value estimates. We prove that Self-AIXI converges to AIXI, and inherits a series of properties like maximal Legg-Hutter intelligence and the self-optimizing property.

1 Introduction

Reinforcement Learning (RL) [1] algorithms exploit learning, planning¹, or their combination, to obtain good policies from experience. Pure learning consists of using real experience for improving a policy via a (parametric) model, possibly representing an explicit policy-model and/or the Q-values [2–6]. In a sense, learning stores the computational effort of policy-improvement into the parameters, which makes it a computationally efficient approach when needing to reuse the policy later on. In contrast, pure planning finds good policies via simulated experience using an environment model and a randomized (or exhaustive) search policy [1, 7, 8]. In the case of unknown or stochastic environments, one must re-plan after receiving a new observation, thus wasting all computational-effort from the previous step. This makes pure planning a wasteful approach. Using both, planning and learning, is a good way to improve performance and efficiency as demonstrated by modern high-performant RL algorithms such as MuZero [9–12]. These algorithms distill the planning effort back into the parametric search-policy by training it to predict the good actions obtained from planning. In a way, these agents are *self-predicting* their own policy-improvements. Although empirically successful and widely used, this distillation [13] or self-prediction² process is motivated in a purely heuristic way without much theoretical understanding on its optimality condition.

The AIXI agent [14, 15] is a theoretical universal Bayes-optimal agent obtained through pure planning without relying on distilling the search effort as described above. AIXI learns an environment model via a Solomonoff predictor [16, 17] and uses it for exhaustive (computationally intractable) planning. Thus, although it uses learning for the environment model, we say AIXI adopts a pure planning approach in the context of policy generation. Two desirable properties of Solomonoff prediction are universality—obtained by considering a huge hypothesis class containing all computable

¹We use the terms planning and search interchangeably.

²Policy distillation usually refers to the process of amortizing one or several policies into another policy model. We view self-prediction as a type of distillation where a search-policy is consolidated into another model.

What if you are uncertain about both the environment and your own policy?

Use environment mixture $\xi \geq \mathcal{M}$

And now a policy mixture $\zeta \geq \mathcal{P}$

$$\pi_S(h_{<t}) := \arg \max_{a_t} Q_{\xi}^{\zeta}(h_{<t}, a_t)$$

Hope that $\pi_S \rightarrow \pi_{\xi}^*$

A helpful condition would be $\pi_S \in \mathcal{P}$

An Application to Self-Predictive A.G.I.

Choose the classes of reflective-oracle computable policies and environments

$$\pi_S(h_{<t}) \in \operatorname{argmax}_{a_t \in \mathcal{A}} V_\xi^\zeta(h_{<t} a_t)$$

$$V_\xi^\zeta(h_{<t} a_t) := \frac{1}{\Gamma_t} \lim_{m \rightarrow \infty} \sum_{a_{t+1:m}, e_{t:m}} \sum_{i=t}^m \gamma_i r_i \prod_{j=t}^m \xi(e_j | h_{<j} a_j) \prod_{j=t+1}^m \zeta(a_j | h_{<j})$$

Note that the value function is still reflective-oracle computable

With the tie breaking trick, $\pi_S \in \mathcal{P}$

Further Thoughts

- Do reflective oracles produce the minimal solution to the grain of truth problem containing the computable measures?
- It seems unrealistic for all agents to share one oracle – what happens if the prior only includes strategies computable with any reflective oracle?
- In the context of reflective oracle access, can we actually show convergence of (the self-predictive) Self-AIXI to (the Bayes-optimal) AIXI?

Sources

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